

To: Rakuten Securities Hong Kong Limited ("Rakuten Securities HK")

The information below must be completed in FULL.

PART A: ACCOUNT SETTING													
Account Type		<input type="checkbox"/> Individual Account <input type="checkbox"/> Joint Account <input checked="" type="radio"/> (Please repeat Part B for Joint Account)											
Account and Base Currency (Please check one or more)		<input checked="" type="checkbox"/> Rakuten FX <input type="checkbox"/> USD <input type="checkbox"/> HKD											
Applicant's E-MAIL Address (Required)													
Security Question (Please check one only)		Security Answer				Security Answer							
<input type="checkbox"/> What was your first pet's name? <input type="checkbox"/> What was the first street you lived on?						<input type="checkbox"/> What is your nickname? <input type="checkbox"/> What is your Mother's maiden name?							
PART B: BASIC INFORMATION													
Primary Account Holder (Please repeat this part for a Joint Account)													
English Name (Required)		Surname		Given Name		Chinese Name		Alias (if any)					
Gender		<input type="checkbox"/> Male <input type="checkbox"/> Female											
I.D., or Passport No.		Date of Birth (DD / MM / YYYY)		D	D	/	M	M	/	Y	Y	Y	Y
Citizenship		Place of Birth											
Telephone No. (Mobile) ( ) ( ) ( )		Telephone No. ( ) ( ) ( )		Fax No.		( ) ( ) ( ) ( )							
Residential Address (In English; P.O. Box is not accepted)													
Permanent Address (In English; if different from Residential Address; P.O. Box is not accepted)													
Are you a US citizen or Resident?		<input type="checkbox"/> No <input type="checkbox"/> Yes		US Taxpayer identification number (SSN or ITIN)									
Are you a tax resident anywhere other than Hong Kong?		<input type="checkbox"/> No <input type="checkbox"/> Yes, please list _____		Taxpayer Identification Number (TIN)									
Specify the reason if no TIN is available,		<input type="checkbox"/> A. The country/jurisdiction where the account holder is a resident for tax purpose does not issue TINs to its residents. <input type="checkbox"/> B. The account holder is unable to obtain a TIN. Please explain _____ <input type="checkbox"/> C. TIN is not required. Select this reason only if the authorities of the Country/Jurisdiction of tax residence do not require the TIN to be disclosed.											
<b>Employment Details</b>													
<input type="checkbox"/> Employed <input type="checkbox"/> Self-employed <input type="checkbox"/> Retired <input type="checkbox"/> Unemployed													
Name of Current Employer AND Business address (Required)													
Business Telephone No. ( ) ( ) ( )		Nature of Business (Required)											
Occupation (Required)		Years with Current Employer		Year(s)		Source of Income (Required)							
PART C: FINANCIAL INFORMATION													
For Joint Account, please use combined financial information													
1. What is your total estimated annual income? (In USD) <i>Note</i> <sup>1</sup>													
<input type="checkbox"/> < \$25,000 <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$249,999 <input type="checkbox"/> \$250,000-\$1,000,000 <input type="checkbox"/> > \$1,000,000													
2. Net worth (Assets minus liabilities)? (In USD) <i>Note</i> <sup>1</sup>													
<input type="checkbox"/> < \$25,000 <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$249,999 <input type="checkbox"/> \$250,000-\$1,000,000 <input type="checkbox"/> > \$1,000,000													
3. Liquid assets (Assets that can be quickly converted to cash) (In USD)													
<input type="checkbox"/> < \$25,000 <input type="checkbox"/> \$25,000-\$49,999 <input type="checkbox"/> \$50,000-\$99,999 <input type="checkbox"/> \$100,000-\$249,999 <input type="checkbox"/> \$250,000-\$1,000,000 <input type="checkbox"/> > \$1,000,000													
4. Have you declared bankruptcy in the last 10 years? If "Yes", provide date and details		<input type="checkbox"/> No <input type="checkbox"/> Yes											
5. Do you have or have you ever had any other Account (s) with Rakuten Securities HK? If "Yes", account number(s):		<input type="checkbox"/> No <input type="checkbox"/> Yes											
6. Are you: 1) A member of any commodity exchange? If "Yes", please list:		<input type="checkbox"/> No <input type="checkbox"/> Yes											
2) An employee of a regulatory agency? If "Yes", please list:		<input type="checkbox"/> No <input type="checkbox"/> Yes											
<i>Note</i> <sup>1</sup> If your annual income is less than \$25,000 or net worth is less than \$50,000, please review the "Risk Warning notice" in the "Client Agreement for Foreign Exchange Trading".													

Please fill in your Citizenship and Place of Birth

Please fill in the Nature of Business

## PART D: INVESTMENT EXPERIENCE

Do you have any investment experience?  No  Yes (If "Yes", please specify years of experience below.)

Securities	Year(s)	Options	Year(s)	Commodities	Year(s)
Futures	Year(s)	Forex	Year(s)	Others, please specify	Year(s)

Please choose your investment objectives.

Income – Provide current income rather than growth of principal  Growth – Increase investment value over time while accepting price fluctuation  Speculation – Assume the highest degree of risk for potentially higher returns

Based on the information provided in this account application form, you are assigned a monetary value in account base currency\*:

\*Circle as appropriate; to be completed by Rakuten Securities HK's representative only

Rakuten FX (USD account)	• 6,000,000	• 8,000,000	• 25,000,000	• 30,000,000	• 45,000,000	• 60,000,000
Rakuten FX (HKD account)	• 46,000,000	• 62,000,000	• 195,000,000	• 234,000,000	• 351,000,000	• 468,000,000

## Banking information

Bank Name	Bank Account Number
SWIFT Code (If applicable)	Bank Account Holder's Name (Must be the same as the name on this application)

## PART E: RISK DISCLOSURE STATEMENT

The risk of loss in leveraged foreign exchange trading can be substantial. You may sustain losses in excess of your initial margin funds. Placing contingent orders, such as "stop-loss" or "stop limit" orders, will not necessarily limit losses to the intended amounts. Market conditions may make it impossible to execute such orders. You may be called upon at short notice to deposit additional margin funds. If the required funds are not provided within the prescribed time, your position may be liquidated. You will remain liable for any resulting deficit in your account. You should therefore carefully consider whether such trading is suitable in light of your own financial position and investment objectives. (Please refer to "Client Agreement for Foreign Exchange Trading")

## PART F: DECLARATION

- I/We acknowledge that this Client Agreement is a legally binding contractual agreement. I/We have read carefully and understood the provisions of the attached Client Agreement of Rakuten Securities HK ("Agreement") which this document forms a part, and by signing, I/We agree to be bound by every term and condition. No modification of this Client Agreement is valid unless accepted by Rakuten Securities HK in writing. I/We confirm that I/we have received a full set of account documents and I/we have not made any alterations or deletions to this agreement or any such documents from the original forms. In the event that there are any alterations or deletions to this Client Agreement, such alteration and deletions shall not be binding on Rakuten Securities HK and said original forms shall govern Trader account relationship with Rakuten Securities HK.
- I/We hereby apply to open the above type(s) of account and agree to be bound by the Agreement (receipt of a copy whereof is hereby acknowledged by me/us) including its Standard Terms and Conditions and relevant Schedule(s) as the same may be amended from time to time. I/We acknowledge and confirm that Rakuten Securities HK has provided the Risk Disclosure Statement as set out in this document hereto in a language of my/our choice (English or Chinese) and I/we have been invited to read the Risk Disclosure Statement, to ask questions and take independent advice if I/we wish.
- I/We hereby represent that the information provided herein is true, correct complete and accurate. I/We further represent that I/We will notify Rakuten Securities HK of any material changes in writing. Rakuten Securities HK reserves the right, but has no duty, to verify the accuracy of information provided, and to contact such bankers, brokers and others as it deems necessary.
- Rakuten Securities HK is entitled to rely fully on such information and representations for all purposes, unless Rakuten Securities HK receives notice in writing of any change. Rakuten Securities HK is authorized at any time to contact anyone, including your banks, brokers or any credit agency, for the purpose of verifying the information provided on this Account Application. I/We have read and understood the clause within the section "Personal Data (Privacy) Ordinance" under the attached Client Agreement of Rakuten Securities HK. A copy of Rakuten Securities HK's Personal Information Collection Statement is available upon request.
- I/We have authorized Rakuten Securities HK / Rakuten Group to send information by mail, email or similar electronic messaging services for the purpose of direct marketing unless I/we inform Rakuten Securities HK in writing that I/we do not wish to receive such direct marketing material.
- I/We have agreed that all deposits in any currencies other than the account base currency will be converted into the account base currency and all withdrawals are in Hong Kong Dollars or US Dollars only. I/We have agreed that the exchange rate for USD against HKD used in calculating the amount to be deposited and to be withdrawn are USD1=HKD7.75; the exchange rate for HKD against USD used in calculating the amount to be deposited and to be withdrawn are USD1=HKD7.85, subject to changes in accordance with market conditions and without prior notice to clients. Rates for depositing other currencies will be provided upon request.
- I/We understand that combined account statement (daily and monthly) are available on Rakuten Securities HK trading platforms. I/We will not receive any copies of the combined account statement via email for Rakuten FX account unless a written instruction is provided to Rakuten Securities HK.
- I/we acknowledge and agree that (a) the information contained in this form is collected and may be kept by Rakuten Securities HK for the purpose of automatic exchange of financial account information, and (b) such information and information regarding the account holder and any reportable account(s) may be reported by Rakuten Securities HK to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112). I/we undertake to advise Rakuten Securities HK of any change in circumstances which affects the tax residency status of the account holder or causes the information contained herein to become incorrect, and to provide Rakuten Securities HK with a suitably updates self-certification form within 30 days of such change in circumstances. I/we declare that the information given, and statements made in this form are, to the best of my/our knowledge and belief, true, correct, and complete.

## PART G: SIGNATURE

Primary Account Holder's Signature	Joint Account Holder's Signature
Client's Print Name	Client's Print Name
Date (DD/MM/YY)	Date (DD/MM/YY)

## DECLARATION BY LICENSED REPRESENTATIVE(S)

I have provided the Client Agreement (Version 49), Margin Call Policy and Procedures and Risk Disclosure Statements to the Client(s) in a language of Client(s) choice (English or Chinese) and have invited Client(s) to read the Client Agreement (Version 49), Margin Call Policy and Procedures and Risk Disclosure Statements carefully, ask questions and take independent advice if Client(s) wishes.

Licensed Representative's Signature	SFC CE Number	(INTERNAL USE Only) Reviewed By: Signature: CE No.:
Name of Licensed Representative	Date (DD/MM/YY)	

**Questionnaire:**

Please submit the questionnaire with account application via mail to the address noted below, email to [info@sec.rakuten.com.hk](mailto:info@sec.rakuten.com.hk) or fax to (852)2119-0117. The request will begin to be processed within 2 business days upon receipt. Please review your information carefully before submitting to avoid any delays.

**Customer Information (Please complete in FULL)**

<b>Name (as stated on your identity document)</b>	
<b>I.D. (or Passport) number</b>	

In order to assess your knowledge of leveraged foreign exchange trading, please choose the correct answers for the questions below.

1	The maximum trading leverage ratio is _____, therefore, _____ of margin is required for opening new position in Rakuten Securities HK.
	<input type="checkbox"/> a. 20 times, 5% <input type="checkbox"/> b. 100 times, 1% <input type="checkbox"/> c. 1000 times, 0.1%
2	“Margin Call” will be issued when equity equal to _____ of margin requirement, and your open positions will be liquidated automatically when equity equal to _____ of margin requirement.
	<input type="checkbox"/> a. 15%, 9% <input type="checkbox"/> b. 10%, 6% <input type="checkbox"/> c. 3%, 2%
3	In some circumstances, the substantial losses may exceed the amount of the initial deposit fund, therefore the negative balance occurs in client’s account and _____ will be responsible for the resulting deficit?
	<input type="checkbox"/> a. the Client <input type="checkbox"/> b. Rakuten Securities HK <input type="checkbox"/> c. both client and Rakuten Securities HK
4	Due to the market volatility, orders may be subject to slippage, _____ if slippage occurs.
	<input type="checkbox"/> a. execution cannot be done <input type="checkbox"/> b. execution price will be different from order price which you are expecting <input type="checkbox"/> c. execution price will be same as expected
5	Leverage FX is _____ and the prices of the FX contracts are determined by _____.
	<input type="checkbox"/> a. traded via over-the-counter (OTC) market, liquidity providers of the trading platform <input type="checkbox"/> b. traded on any centralized exchanges, centralized exchanges <input type="checkbox"/> c. freely convertible, bank
6	During the maintenance hours, which of the following order can be executed?
	<input type="checkbox"/> a. Market order <input type="checkbox"/> b. Pending order <input type="checkbox"/> c. None of above

## IMPORTANT INFORMATION ABOUT PROCEDURES FOR OPENING A NEW ACCOUNT 有關開戶申請步驟的重要資訊

### Foreign Status Certification & U.S. Tax Payer Certification

If an account is held by a non U.S. citizen or non U.S. registered business entity, Rakuten Securities HK must have a Certification of Foreign Status on file for the account.

If an account is held by a U.S. citizen, U.S. resident alien or U.S. business entity, you are required to certify your tax status by completing W-9 form.

This information is required for foreign financial institution tax withholding purposes under the United States Internal Revenues Service Tax laws. Rakuten Securities HK must establish your proper classification with respect to residency for tax purposes in order to determine the proper tax withholding and file the appropriate reports with the Internal Revenues Service.

To provide or update your Certification, complete the appropriate form below. Please note that you must complete this form prior to trading and any time the information on this form has changed.

### 外國身份認證與美國納稅人認證

如果賬戶是由非美國公民或非美國註冊商業實體持有，樂天證券香港必須為該賬戶持有外國身份的認證文件。

如果賬戶是由美國公民、美國居住的外籍居民、或美國的商業實體持有，您需要填寫 W-9 表格以證明您的稅務狀況。

根據美國國內收入服務稅法，您必需提供此資料以令外國金融機構扣繳稅款。樂天證券香港必須正確地為客人稅務上的居住地域分類，以決定正確的預扣稅和把適當的文件給予美國國家稅務局。

請完成下面對應的表格，以提供或更新您的認證。請注意，於交易前或當資料於對應表格上轉變前，您必須完成對應表格。

Forms and Instructions Description	表格及說明描述	Forms 表格	Instructions 說明
A foreign individual	外國個人 (非美國個人)	<a href="#">W-8BEN (PDF)</a>	<a href="#">W-8BEN (PDF)</a>
A U.S. business entity or U.S. citizen or resident	美國企業實體或美國公民或美國居民	<a href="#">W-9 (PDF)</a>	<a href="#">W-9 (PDF)</a>
A foreign business entity	外國 (非美國) 企業實體	<a href="#">W-8BEN-E (PDF)</a>	<a href="#">W-8BEN-E (PDF)</a>
A foreign partnership, a foreign simple trust, or a foreign grantor trust (unless claiming treaty benefits)	外國 (非美國) 合夥企業、外國 (非美國) 簡單信託、或外國 (非美國) 的授予人信託 (除非具稅收協定優惠待遇)	<a href="#">W-8IMY (PDF)</a>	<a href="#">W-8IMY (PDF)</a>
A foreign individual or entity claiming that income is effectively connected with the conduct of trade or business within the U.S. (unless claiming treaty benefits)	外國個人 (非美國個人) 或實體聲稱收入實際上連接於美國境內的貿易或業務 (除非具稅收協定優惠待遇)	<a href="#">W-8ECI (PDF)</a>	<a href="#">W-8ECI (PDF)</a>
A foreign government, international organisation, foreign central bank of issue, foreign tax exempt organisation, foreign private foundation, or government of a U.S. possession that received effectively connected income.	收到有效連接收入的外國 (非美國) 政府、國際組織、發行外國 (非美國) 中央銀行、外國 (非美國) 免稅組織、外國 (非美國) 私人基金會或美國佔有的政府	<a href="#">W-8EXP (PDF)</a>	<a href="#">W-8EXP (PDF)</a>

## PERSONAL INFORMATION COLLECTION STATEMENTS 個人資料收集聲明

### Personal Information Collection Statement

#### SECURITY AND DISCLOSURE

Rakuten Securities HK respects each individual's right to privacy. We value our relationship with you, and we take pride in maintaining loyalty and respect with each individual client; all personal information collected by Rakuten Securities HK is protected under stringent security measures. The provisions of this notice apply to former clients, current clients, and applicants.

#### PERSONAL INFORMATION

When you apply for and/or maintain a live or demo account with Rakuten Securities HK or contact Rakuten Securities HK for any inquiries, Rakuten Securities HK collects personal information, including your name, contact details and other personal particulars for the following purposes, such as evaluating your financial needs, processing your requests and transactions, informing you about investment products and services and providing customer service. The types of personal information may include:

- Application information. Information you provide to us on applications and other forms, such as your name, address, birth date, identification card number, occupation, assets, and financials.
- Transaction information. Information about your transactions as well as information about our communications with you. Examples include your account balances, trading activity, your inquiries, and our responses.
- Verification information. Information necessary to verify your identity, such as a passport or driving license. Additional examples also include background information we receive from public records or from other entities not affiliated with Rakuten Securities HK. Rakuten Securities HK may also be required to collect your information and take actions necessary to verify your identity in accordance with regulatory requirements.

Rakuten Securities HK or Rakuten Group\*\* intends to use your name, telephone number and email address for direct marketing investment products and services and Rakuten Securities HK requires your consent for that purpose. By applying for and/or opening a live or demo Rakuten Securities HK account, you acknowledge and agree that your consent is voluntarily provided to Rakuten Securities HK. If you do not wish Rakuten Securities HK to use your data for use in direct marketing as described in this policy, you may exercise your right to opt-out by notifying Rakuten Securities HK.

In compliance with the Personal Data (Privacy) Ordinance, Rakuten Securities HK would like to inform you that your personal information may be used for the following purposes:

1. Sharing, cross-checking and transferring that personal data with any Rakuten Securities HK's affiliated or associated companies or Rakuten Group whether in relation to new or existing client verification procedures, ongoing account administration or direct or indirect marketing of any investment, dealing or related services or products;
2. The comparison and or transfer to third party service providers of such personal data for the purposes of credit checking and or data verification;
3. Any purpose relating to or in connection with the compliance of any law, regulation, court order or order of a regulatory authority, including the provision of any such data to any such regulatory authority as required by law or regulation;

### 個人資料收集聲明

#### 安全性及披露

樂天證券香港尊重個人私隱權。樂天證券香港非常重視與客戶的關係，而且樂天證券香港以為客戶提供關於個人資料方面的私隱保障而取得客戶的支持及尊重為榮。此告示中的條文適用於前客戶、現有客戶及申請人。

#### 個人資料

當閣下向樂天證券香港申請及/或維持一個真實或模擬賬戶或聯絡樂天證券香港作出任何查詢時，樂天證券香港收集個人資料(包括閣下的姓名、聯絡詳情及其他個人資料)作下列用途，例如評估閣下的財務需要、處理閣下的要求和交易、通知閣下投資產品和服務及提供客戶服務。個人資料的種類可能包括：

- 申請資料：閣下在申請表或其他表格上所提供的資料，例如姓名、地址、出生日期、身份證號碼、職業、資產、及財政狀況；
- 交易資料：關於閣下的交易的信息及樂天證券香港與閣下的通信資料，例如包括閣下的賬戶結餘、交易活動、閣下的查詢及樂天證券香港的回覆；
- 核實資料：核實閣下身份所需的資料，例如護照或駕駛執照。其他例子亦包括樂天證券香港從公共紀錄或其他與樂天證券香港並無關聯之機構所獲得的背景資料。根據監管規定，樂天證券香港亦可能需要收集閣下的資料及採取必需行動以核實閣下的身份；

樂天證券香港或樂天集團\*\*擬使用閣下的姓名、電話號碼和電郵地址作直接促銷投資產品和服務。樂天證券香港須為此目的取得閣下同意。透過申請和/或開立樂天證券香港真實或模擬賬戶，閣下確認並同意，閣下所提供給樂天證券香港的同意是出於自願的。如閣下不願意樂天證券香港使用閣下的資料，藉以用於本政策聲明所述之直接促銷，閣下可通知樂天證券香港以行使其不同意此安排的權利。

根據《個人資料(私隱)條例》，樂天證券香港謹此通知閣下，閣下的個人資料可能會被用作以下用途：

1. 不論是有關新或現有客戶的核證程序、持續賬戶行政管理或任何投資、交易或相關服務或產品的直接或間接市場推廣、與樂天證券香港任何聯屬或關連公司或樂天集團或共享、反覆查證及轉移該等個人資料；
2. 比較及/或將該等個人資料轉移予第三者服務供應商作信貸查證及/或核證資料用途；
3. 關於或有關遵守任何法律、規例、法令或監管機構的命令的任何用途，包括按照法律或規例的要求向任何該等監管機構提供任何該等資料；

4. Any other purpose relating to or in connection with Rakuten Securities HK's business or dealings or the business or dealing of Rakuten Securities HK's affiliated or associated company or any member of Rakuten Group.

Your personal data held by Rakuten Securities HK will be kept confidential but Rakuten Securities HK's affiliated or associated company or Rakuten Group may provide information to 1) any agent or third party services provider who provides services to any of Rakuten Securities HK's affiliated or associated companies or Rakuten Group in connection with the operation of its business 2) any regulatory or governmental authorities which relates to or govern any business of any of Rakuten Securities HK's affiliated or associated companies or Rakuten Group and 3) any person that Rakuten Securities HK considers as appropriate or fit including any of Rakuten Securities HK's affiliated or associated companies or a member of Rakuten Group or a member and its employees under a duty of confidentiality. Your personal data may be transferred to a place outside Hong Kong where it may not have protection to the same or similar level in Hong Kong.

In accordance with the terms of the Personal Data (Privacy) Ordinance, any client has the right:

- To determine whether Rakuten Securities HK holds data about that client and of access to such data;
- To request Rakuten Securities HK correct any inaccurate data relating to the client;
- To ascertain Rakuten Securities HK's policies and practices in relation to data and be informed of the kind of personal data held by any of Rakuten Securities HK's affiliated or associated companies or Rakuten Group;
- To request Rakuten Securities HK or its affiliated or associated companies or Rakuten Group to cease from using his/her personal data for direct or indirect marketing purpose by writing.

Rakuten Securities HK has the right to charge a reasonable fee for the processing of any data access request in accordance with the terms of the Personal Data (Privacy) Ordinance. Requests for access to data or correction of data or for information regarding Rakuten Securities HK's privacy policy and practices should be addressed to the following:

The Data Protection Officer,  
Unit 501, 5/F, Nexus Building, 41 Connaught Road Central, Hong Kong  
Telephone: (852) 2119-0116 Fax: (852) 2119-0117

You are not required to supply any of the personal information that we may request. However, failure to provide all required information may prevent us from opening and/or maintaining your account or providing services to you. While we make every effort to ensure that all information we hold about you is accurate, complete, and up to date, you can help us considerably in this regard by promptly notifying us if there are any changes to your personal information.

#### ABOUT COOKIES

##### Introduction

Rakuten Securities Hong Kong Limited ("Rakuten Securities HK") may use cookies when you visit our website the sec.rakuten.com.hk domain (the "Website") including any other media form, the mobile website to help customize the Website and improve your experience. By using the Website, you consent to our use of cookies according to this Cookies Policy. If you refuse to accept cookies, please refer to Managing Cookies below.

We reserve the right to make any changes to this Cookies Policy at any time and for any reason. All modifications will be effective immediately upon posting the updated Cookies Policy on the Website, and you waive the right to receive specific notice of each modification. You will be deemed to have been made aware of, will be subject to, and will be deemed to have accepted the modification in any revised Cookies Policy by your continued use of the Website after the date such revised Cookies Policy is posted.

##### What are cookies?

Cookies are small text files that assign you a unique identifier that usually we store on your computer and/or mobile devices. Cookies are used to recognize visitors each time they submit a query to the Website. Rakuten Securities HK and/or Rakuten Group may use Cookies to personalize users' preference when they return to the Website, deliver and tailor the marketing and advertising, and improve the users' experience on the Website and the services we provided. Such information that we collect and share would be anonymous and not personally identifiable.

##### Use of cookies

The Website uses cookies served by third parties on our behalf. The table below describes what cookies are used:

Service	Purpose	More Information
Google Analytics	These cookies are used to collect information about how visitors use the Website anonymously. We use the information to compile reports and to help us improve the Website.	Google privacy information
Google reCAPTCHA HA	These cookies are used to collect information to protect our Website from spam software and non-human visitors.	Google privacy information

4. 關於或有關樂天證券香港的業務或交易，或樂天證券香港的聯屬或關連公司或樂天集團任何成員公司的業務或交易的任何其他用途。

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根據《個人資料（私隱）條例》的條款，任何客戶均有權：

- 決定樂天證券香港有否持有該客戶的資料及取得該等資料；
- 要求樂天證券香港更正有關客戶的任何不實資料；
- 確定福樂天證券香港在個人資料方面的政策及實務及獲告知樂天證券香港的聯屬或關連公司或樂天集團所持有的個人資料的種類；
- 以書面方式要求樂天證券香港或樂天證券香港的聯屬或關連公司或樂天集團終止使用其個人資料作直接或間接市場推廣用途。

樂天證券香港有權就處理根據《個人資料（私隱）條例》的條款而提出索取資料的要求收取合理的費用。有關索取資料或更正資料或索取有關樂天證券香港私隱保障政策及實務資料的要求，請參閱以下聯絡詳情：

保障資料主任，香港中環干諾道中 41 號盈置大廈 5 樓 501 室  
電話：(852) 2119-0116 傳真：(852) 2119-0117

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#### 有關 COOKIES

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Google reCAPTCHA	這些 Cookies 用於收集資訊，以保護我們的網站免受惡意程式攻擊。	Google 隱私權資訊

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如不希望樂天證券香港向其聯營機構或本政策所指的第三者披露閣下的個人資料，請發電郵至

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致：法務部  
香港中環干諾道中 41 號盈置大廈 5 樓 501 室

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